At its sixth ordinary session in December 2012, the Intergovernmental Committee requested the Secretariat, in collaboration with the UNESCO Institute for Statistics, to evaluate which modifications could be made to the Sources and Statistics Annex to render it easier to complete and more relevant for Parties, and to report on its progress at the fourth ordinary session of the Conference of Parties. In accordance with this decision, the UIS prepared this report on the progress of its work.
1. At its sixth ordinary session in December 2012, the Intergovernmental Committee for the Protection and Promotion of the Diversity of Cultural Expressions (hereinafter “the Committee”) adopted a decision encouraging the UNESCO Institute for Statistics (UIS) to assist Parties to the Convention in compiling the Sources and Statistics Annex to the Framework for Quadrennial Periodic Reports on Measures to Protect and Promote the Diversity of Cultural Expressions (hereinafter, ‘Framework for Periodic Reports’). In addition, the Committee requested that the Secretariat, in collaboration with the UIS, evaluate the Sources and Statistics Annex and propose any necessary modifications in order to render it easier to complete and more relevant for Parties.

2. Following this Decision, the Assistant Director-General for Culture asked the UIS, in a Memo of 31 January 2012, to cooperate with the Secretariat of the 2005 Convention in undertaking a review of the current Sources and Statistics Annex and to identify potential changes to its content if necessary. In his reply of 15 February 2012, the Director of UIS informed the Assistant Director-General that UIS will be pleased to provide its support to the Secretariat on evaluating the statistical annex.

3. The UIS has begun the work requested of it by the Committee, and the results completed to date are presented in this report. Final results will be presented to the seventh ordinary session of the Committee in December 2013.

**Review of the current Sources and Statistics Annex**

4. Unlike the core quadrennial periodic report (hereinafter, ‘the report’), the Sources and Statistics Annex is not mandatory for Parties and does not imply production of new data or statistics. The Operational Guidelines on Article 9 of the Convention request Parties ‘to the extent possible, to provide statistical information that already exists’ and ‘may have been collected through national surveys, mapping studies, etc.’ In addition, the Annex to the Framework for Periodic Reports suggests a number of published sources, most of them available online, for each set of questions. These sources can be used as a guide for Parties to identify the type of data required for the Annex.

5. However, to compile the Sources and Statistics Annex, Parties do need to collate a wide range of national quantitative and qualitative information. The type of data used and reported will vary between each Party depending on their statistical capabilities.

6. Of the 45 Parties that submitted their reports, 28 Sources and Statistics Annexes were received with complete or partial responses. Five additional Parties included selected data in the core report. Some of the Parties that did not complete the Sources and Statistics Annex highlighted in their reports the difficulties they encountered in its completion. These difficulties reflect the state of cultural statistics globally, characterized by a lack of detailed data in many areas of relevance to the Convention monitoring process.

7. The indicators requested in the Sources and Statistics Annex require data from many national sources including administrative data, household surveys, and business registers. Some data are readily available (if a national survey has already been carried out in the country) such as the number of films produced. Extensive expertise is, however, required to collect data for the Annex section on *Economy and Finance* (cultural employment and economic contribution). In this case, collaboration between National Statistical Office, the Ministry of Labor and Ministry of Culture is usually required to undertake such work.

8. The response rates by section of the Statistics Annex varied greatly. On average, the response rate by section ranged from 18% for the section on *International Cooperation* to 44% for the section on *Demographic context*. Nevertheless, these results hide wide discrepancies among the different indicators within the same section. The results show that
the highest response rate was 47% for the section on Cultural Production and Distribution that contained indicators on cinema and books. Nevertheless, within the same section, the indicators on Radio/TV Broadcasting and on Music had two of the lowest response rates with 16% and 12% respectively. In some cases, countries were unable to report on indicators but reported the raw figures instead. For example, 44% response rate for the Estimated share of people working in the cultural sector in relation to total employment hides the fact that while some countries reported the indicator requested (in %) others provided the raw figures.

9. The data collected are not comparable due to the wide range of sources used between countries. For example, reference years of the data varied, as did the definition of variables and possibly methodology. As a case in point, the reference year for data collected for the Contribution of cultural activities to Gross Domestic Product (GDP) in percentage ranged from 1998 to 2011. By comparison, the reference year for the data on films ranged from 2007 to 2011. These discrepancies are not, however, a stumbling block since the purpose of the data collection was not to compare Parties among themselves but to monitor their progress from one report to the next.

10. This initial analysis shows that while many Parties provided selected cultural statistics, few were able to report all the statistics requested by the Sources and Statistics Annex. These results emphasize the need for Parties to have the ability to produce a wide range of cultural data and statistics in order for them to be able to respond comprehensively to the Sources and Statistics Annex.

Towards a simplified questionnaire

11. As part of the review and evaluation of the Sources and Statistics Annex to be carried out by the UIS, several important criteria will be considered. The first will be the suitability of defining a one-fit set of questions for all Parties. Another consideration will be the response burden that the Sources and Statistics Annex will place on Parties, which will need to be minimized. The capacity of Parties to produce and analyze the selected data and indicators will also be an important criterion. The Sources and Statistics Annex would gain by including clear definitions and methodological guidelines for Parties. In addition, the following points will also be taken into account during the review and assessment:

- the purpose of the data collection: does the current format fit the initial purpose?
- the links with assessing diversity of cultural expressions and culture and development
- the links with relevant cultural policies
- identifying the modes of data collection required by indicators
- the relevance of each indicator to its purpose and the need to expand, reduce or modify data and indicators
- the relevance of the different international data sources

Assisting Parties in compiling the Sources and Statistics Annex to the report

12. UIS recognizes that countries are at different stages of development regarding their capacity to produce cultural statistics. As such, their ability to produce and use
necessary and relevant national statistics in their periodic reporting, as well as to respond to the Sources and Statistics Annex will vary greatly. The work of the UIS Culture Unit is designed to contribute to supporting the development of cultural statistics in all UNESCO Member States.

13. The UIS Cultural Statistics Programme of Work is designed to assist the Member States in the development of their ability to produce cultural statistics from several perspectives and regardless of their level of statistical capacity. This is achieved through the following lines of action:
   - developing new statistical concepts, standards and methodologies;
   - undertaking capacity building in cultural statistics; and
   - maintaining international databases and data collection in selected cultural themes.

14. The Programme of Work includes the production of statistical handbooks and technical guidelines; delivery of training in cultural statistics through regional and national workshops; provision of technical support and advice to Member States; and dissemination of selected internationally comparable policy-relevant cultural data, statistics and indicators (in particular, in respect to feature films and the international flow of cultural goods and services). UIS is also currently developing a new Global Survey and international database of Cultural Employment Statistics.

15. Even though UIS does not collect at a global level all the data that is included in the Sources and Statistics Annex, it can assist Parties in an advisory capacity on the possible sources, as well as the conceptual and methodological aspects of the data and indicators.

Next Steps

16. In order to assist Parties in compiling the Sources and Statistics Annex, in addition to its regular Programme of Work, UIS will work with the Secretariat to develop a specific training module about the Sources and Statistics Annex of the Framework for Periodic Reports. This module will be used in future UIS cultural statistics training workshops, national training workshops, as well as in capacity building workshops undertaken by the Secretariat of the 2005 Convention.

17. UIS, in collaboration with the Secretariat, will undertake a thorough review of the Sources and Statistics Annex and evaluate what modifications are needed. It will then submit, in collaboration with the Secretariat, a draft revised Sources and Statistics Annex to the seventh ordinary session of the Committee in December 2013.